STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF Neville B Allen

FILE NO. 1100115

ORDER OF DISMISSAL

TO RESPONDENT:

Neville B Allen (Crd#: 3002271)

1902 Lee St

Evanston, IL 60202

C/O H.D Vest Investment Services

Attn: Director of Compliance 6333 North State Highway 161

4th Floor

Irving, TX 75038-2200

TO THE HEARING OFFICER:

Soula J. Spyropoulos

4125 West Lunt Street

Lincolnwood, Illinois 60712

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue:

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on March 18, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on March 18, 2011 against the Respondent Neville B Allen in this matter is dismissed without prejudice.

Dated: This 8th day of April 2011.

Secretary of State

Mutzo

Maria A. Pavone Enforcement Attorney Illinois Securities Department Office of Secretary of State 69 West Washington St.- Suite 1220 Chicago, IL 60602

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